



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

- '							
APPLICATION NO.	FIL	ING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/872,514 06/01/2001		5/01/2001	David Hodson	10419-991201	1510		
7590 05/12/2005			EXAMINER				
William Kaplan				FADOK,	FADOK, MARK A		
iKiosk 3073 Corbin Drive			ART UNIT	PAPER NUMBER			
Santa Clara, C	A 9505	1	3625				

DATE MAILED: 05/12/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED
MAY 25 2005
OIPE/JCWS



COMMISSIONER FOR PATENT:
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Paper No.

Notice of Non-Compliant Amendment (37 CFR 1.121)

		treated of the compliant Americanient (37 Cr K 1.121)
be con	npliant, co nent must	is considered non-compliant because it has failed to meet the requirements of a samended on June 30, 2003 (see 68 Fed. Reg. 38611, Jun. 30, 2003). In order for the amendment document to prection of the following item(s) is required. Only the corrected section of the non-compliant amendment the resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's cument must be re-submitted. 37 CFR 1.121(h).
THE F	FOLLOWI 1. Ame	ING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT: indiments to the specification: A. Amended paragraph(s) do not include markings. B. New paragraph(s) should not be underlined. C. Other
<u> </u>	2. Absu	A. Not presented on a separate sheet. 37 CFR 1.72. B. Other
	3. Ame	ndments to the drawings:
	4. Amer	A. A complete listing of <u>all</u> of the claims is not present. B. The listing of claims does not include the text of all claims (including withdrawn claims) C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. D. The claims of this amendment paper have not been presented in ascending numerical order. E. Other:
For furt	her explai	nation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at ov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf.
non-enti changes	er to supp ry of the	ant amendment is a PRELIMINARY AMENDMENT, applicant is given ONE MONTH from the mail date of ly the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in preliminary amendment and examination on the merits will commence without consideration of the proposed eliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit
ONE M	e antendn ONTH fro	ant amendment is a reply to a NON-FINAL OFFICE ACTION (including a submission for an RCE), and tent appears to be a bona fide attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 abandonment. EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).
f the an	nendment e to a fina	is a reply to a FINAL REJECTION, this form may be an attachment to an Advisory Action. The period for

Telephone No.

status of the amendment.

Legal Instruments Examiner (LIE)

	Application No.	Applicant(s)				
Notice of Alexander many	09/872,514	HODSON ET A	L.			
Notice of Abandonment	Examiner	Art Unit				
	Mark Fadok	3625	,			
The MAILING DATE of this communication app			Idress			
This application is abandoned in view of:						
Applicant's failure to timely file a proper reply to the Offic (a) A reply was received on (with a Certificate of ! period for reply (including a total extension of time of	Mailing or Transmission dated month(s)) which expired on _	<u> </u>	•			
(b) A proposed reply was received on, but it does	· · · · · ·	, ,	•			
(A proper reply under 37 CFR 1.113 to a final rejection application in condition for allowance; (2) a timely file Continued Examination (RCE) in compliance with 37	d Notice of Appeal (with appeal fee);					
(c) ☑ A reply was received on <u>07 October 2004</u> but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).						
(d) ☐ No reply has been received.						
2. Applicant's failure to timely pay the required issue fee an from the mailing date of the Notice of Allowance (PTOL-8		the statutory period	d of three months			
(a) ☐ The issue fee and publication fee, if applicable, wa), which is after the expiration of the statutory p Allowance (PTOL-85).	s received on (with a Certificateriod for payment of the issue fee (are	ate of Mailing or Tr nd publication fee) s	ransmission dated set in the Notice of			
(b) The submitted fee of \$ is insufficient. A balance	e of \$ is due.					
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if required by 37	CFR 1.18(d), is \$				
(c) The issue fee and publication fee, if applicable, has n	ot been received.					
3. Applicant's failure to timely file corrected drawings as req Allowability (PTO-37).	uired by, and within the three-month p	period set in, the No	otice of			
 (a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply. 	_ (with a Certificate of Mailing or Tran	smission dated), which is			
(b) ☐ No corrected drawings have been received.		1 1				
4. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.						
5. The letter of express abandonment which is signed by an 1.34(a)) upon the filing of a continuing application.	n attorney or agent (acting in a repres	entative capacity u	nder 37 CFR			
6. The decision by the Board of Patent Appeals and Interfer of the decision has expired and there are no allowed clai		e the period for see	eking court review			
7. The reason(s) below:						
see Interview summary						
		4				
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.						
U.S. Patent and Trademark Office	of Abandonment	Part of Pa	per No. 20050510			

Interview Summary

Application No. Applicant(s) 09/872,514 HODSON ET AL.

interview Summary	Examiner	Art Unit				
	Mark Fadok	3625				
All participants (applicant, applicant's representative, PTO personnel):						
(1) Mark Fadok.	(3)					
(2) Mr. Tim Lohse (650) 833-2055).	(4)					
Date of Interview: 10 May 2005.						
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2	²)⊠ applicant's representative	:]				
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.					
Claim(s) discussed:						
Identification of prior art discussed:	•					
Agreement with respect to the claims f) was reached. g)□ was not reached. h)⊠ N	/A.				
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>See Continuation Sheet</u> .						
(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)						
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.						
•						
	•					

Examiner Note: You must sign this form unless it is an

Attachment to a signed Office action.

Examiner's signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed.
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner.

(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)

- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

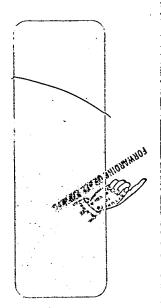
Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The office has sent out 2 mailings of a non-responsive amendment (mailed 10/27/2004), which were returned on 11/12/2004 and once again on 1/14/2005. The examiner was unable to contact the applicant, since no telephone number was available. The examiner did contact Mr. Lohse who had sent in the non-responsive election on 10/7/2004. Mr. Lohse asked that a copyof this correspondence be sent to him so he might be able to contact the applicant. The examiner noted that a Notice of Abandonment will be sent to the address of record and since Mr. Lohse is no longer the attorney of record (see office correspondence 6/12/2004) a copy will not be sent to his office. The examiner did inform Mr. Lohse that he could contact the applicant and inform him that this correspondence is being sent and if the applicant wishes to revive the application he could contact the examiner.

Best Available Copy





HECENIED

MAY 2.3 2005

WAY 2.3 2005

Organization | IL JOUU | Bldg./Room | IV S. DEPARTMENT OF COMMERCE

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450
IF UNDELIVERABLE RETURN IN TEN DAYS

OFFICIAL BUSINESS

AN EQUAL OPPORTUNITY EMPLOY

